

## Serious Ill Health application

You may take your pension benefits earlier than the normal minimum pension age due to Ill-Health and in a lump sum due to Serious Ill Health. This form should be used if you are in Serious Ill Health which is defined as having less than one year to live.

This lump sum is available at any age and will be tested against your remaining Lump Sum and Death Benefit Allowance (LSDBA). It can be paid tax-free if you are under 75 at the time of payment. If you are over 75, the lump sum will be taxable as income tax. If the lump sum exceeds your remaining LSDBA, the excess will be taxed as income.

Please complete this form as follows:

- Serious Ill Health Lump Sum form, to be completed by you; **and provide**
- A signed letter from a Registered Medical Practitioner (e.g., your GP or Medical Consultant) confirming that you have a life expectancy of less than 1 year.

This letter must include:

The Medical Practitioner's name

The Medical Practitioner's General Medical Council (GMC) reference number

The Medical Practitioner's contact details (Address and Telephone Number)

Your Name and Date of Birth.

You may return these by email to [SIPPOps@trading212.com](mailto:SIPPOps@trading212.com). This can be as scanned documents or as photographs. Once we receive the documents, we will review and process your request. However, please note we may require additional information from you before we are able to do so.

If you are unsure about whether making this application is right for you, we recommend you seek regulated financial advice.

If you have any questions, or need support during this difficult time, please contact us at: [SIPPOps@trading212.com](mailto:SIPPOps@trading212.com).

The Trading 212 SIPP is administered by Platform One Trustees Limited, who are part of the Platform One group and registered in England and Wales at Arena Business Centre, 9 Nimrod Way, Wimborne, BH21 7UH (Company Number: 15205010). Platform One Limited (Company Number: 06993268) is authorised and regulated by the Financial Conduct Authority (Firm Reference Number: 542059). Trading 212 is a trading name of Trading 212 UK Ltd. and is registered in England and Wales (Company number 08590005). Registered address: Aldermary House, 10-15 Queen Street, London, EC4N 1TX. Trading 212 UK Ltd. is authorised and regulated by the Financial Conduct Authority (Firm reference number 609146).

## Serious Ill Health Lump Sum Form

### Your details

<b>Pension plan number:</b>		<b>Current address:</b>
<b>First name:</b>		
<b>Surname:</b>		
<b>Date of birth:</b>		<b>Postcode:</b>

<b>Bank account name:</b>	
<b>Sort code:</b>	
<b>Account number:</b>	

### Lump Sum & Death Benefit Allowance

To process your request, we need the following information to check you have Lump Sum & Death Benefits Allowance (LSDBA) available, in line with HMRC pension tax rules and regulations.

<p><b>Question 1</b></p> <p>Do you have any pensions other than your Platform One Pension?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p><i>If 'Yes' please answer question 2.</i></p> <p><i>If 'No' please go to question 6.</i></p>
<p><b>Question 2</b></p> <p>Have you taken benefits from any of your pension schemes?</p> <p><input type="checkbox"/> Yes</p>	<p><i>If 'Yes' please answer question 3.</i></p>

The Trading 212 SIPP is administered by Platform One Trustees Limited, who are part of the Platform One group and registered in England and Wales at Arena Business Centre, 9 Nimrod Way, Wimborne, BH21 7UH (Company Number: 15205010). Platform One Limited (Company Number: 06993268) is authorised and regulated by the Financial Conduct Authority (Firm Reference Number: 542059). Trading 212 is a trading name of Trading 212 UK Ltd. and is registered in England and Wales (Company number 08590005). Registered address: Aldermary House, 10-15 Queen Street, London, EC4N 1TX. Trading 212 UK Ltd. is authorised and regulated by the Financial Conduct Authority (Firm reference number 609146).

<input type="checkbox"/> No	<i>If 'No' please go to question 6.</i>
<p><b>Question 3</b></p> <p>Did you take any pension benefits before 6th April 2006?</p> <input type="checkbox"/> Yes	<p><i>If 'Yes' we will contact you for further details. Please also answer question 4.</i></p>
<input type="checkbox"/> No	<p><i>If 'No' please go to question 4.</i></p>
<p><b>Question 4</b></p> <p>Have you taken any pension benefits since 6th April 2006 and before 6<sup>th</sup> April 2024?</p> <input type="checkbox"/> Yes	<p><i>If 'Yes' please confirm:</i></p> <p><i>What is the total percentage of Lifetime Allowance used to date from all of your pension schemes?</i></p> <p>----- . ----- %</p> <p><i>Please also answer Question 6</i></p>
<input type="checkbox"/> No	
<p><b>Question 5</b></p> <p>Have you taken any pension benefits since 6<sup>th</sup> April 2024?</p> <input type="checkbox"/> Yes	<p><i>If 'Yes' please confirm:</i></p> <p><i>Total amount of any tax-free cash you have received since 6<sup>th</sup> April 2024</i></p> <p>£ -----</p>
<input type="checkbox"/> No	
<p><b>Question 6</b></p> <p>Do you have any form of Lifetime Allowance protection?</p> <input type="checkbox"/> Yes	<p><i>If 'Yes' we will contact you for further details.</i></p>
<input type="checkbox"/> No	

The Trading 212 SIPP is administered by Platform One Trustees Limited, who are part of the Platform One group and registered in England and Wales at Arena Business Centre, 9 Nimrod Way, Wimborne, BH21 7UH (Company Number: 15205010). Platform One Limited (Company Number: 06993268) is authorised and regulated by the Financial Conduct Authority (Firm Reference Number: 542059). Trading 212 is a trading name of Trading 212 UK Ltd. and is registered in England and Wales (Company number 08590005). Registered address: Aldermary House, 10-15 Queen Street, London, EC4N 1TX. Trading 212 UK Ltd. is authorised and regulated by the Financial Conduct Authority (Firm reference number 609146).

## Declaration

- I apply for a serious ill health lump sum payment from my Trading 212 SIPP. If the information provided above changes, I agree to advise Platform One immediately.
- I declare that, to the best of my knowledge and belief, the answers and statements made in this form are true and complete and I have not knowingly concealed any material facts.
- I understand that the payment made by Trading 212 in accordance with this application shall fully discharge it from all liabilities and claims arising from my Trading 212 SIPP, which will be closed following the making of the payment.
- I understand that, should the lump sum payment exceed my available Lump Sum & Death Benefit Allowance, income tax will be charged on the excess amount.
- I authorise Platform One Limited to carry out the instructions in this form in accordance with the terms and conditions of the account.
- I acknowledge and accept that Trading 212 and Platform One Pension Trustees Limited do not provide financial, investment, tax, legal or any other form of advice and the services provided do not extend to financial advice under the terms of the Financial Services and Markets Act 2000.
- I consent to Trading 212 seeking further confirmation of my reduced life expectancy from my doctor/consultant and I confirm that a copy of this consent shall have the validity of the original.

## Data Protection Act notice

Under the Data Protection Act 2018 and the UK General Data Protection Regulations, the information we will obtain will be special categories of personal data which are considered as sensitive personal data. Any information we obtain about you from yourself or third parties will be kept strictly confidential. It will only be used to process your request, where it may be necessary to defend any claims and where we are required under law to share the information such as to HM Revenue & Customs. By signing this form you are giving us your consent to use your sensitive personal data for the purposes described above.

**Client signature:**

**Print name:**

**Date:**

The Trading 212 SIPP is administered by Platform One Trustees Limited, who are part of the Platform One group and registered in England and Wales at Arena Business Centre, 9 Nimrod Way, Wimborne, BH21 7UH (Company Number: 15205010). Platform One Limited (Company Number: 06993268) is authorised and regulated by the Financial Conduct Authority (Firm Reference Number: 542059). Trading 212 is a trading name of Trading 212 UK Ltd. and is registered in England and Wales (Company number 08590005). Registered address: Aldermary House, 10-15 Queen Street, London, EC4N 1TX. Trading 212 UK Ltd. is authorised and regulated by the Financial Conduct Authority (Firm reference number 609146).